

PTO/SB/08A (08-03)

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **1** of **10**

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Application Number 09/620253

Filing Date July 20, 2000

SEP 17 2004

First Named Inventor SIMKINS, BARRY A.

Art Unit 2125

Technology Center 2100

Examiner Name BAHTA, KIDEST

Attorney Docket Number 018563-003100US

U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	2,467,432	04-19-1949	Kesling	
	AB	3,660,900	05-09-1972	Andrews	
	AC	3,860,803	01-14-1975	Levine	
	AD	3,916,526	11-04-1975	Schudy	
	AE	3,950,851	04-20-1976	Bergersen	
	AF	4,014,096	03-29-1977	Dellinger	
	AG	4,195,046	03-25-1980	Kesling	
	AH	4,324,546	04-13-1982	Heitlinger et al.	
	AI	4,348,178	09-07-1982	Kurz	
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	AK	4,504,225	03-12-1985	Yoshii	
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	AM	4,575,805	03-11-1986	Moermann, et al.	
	AN	4,611,288	09-09-1986	Duret et al.	
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	BM	5,131,844	07-21-1992	Marinaccio et al.	
	BN	5,139,419	08-18-1992	Andreiko et al.	
	BO	5,184,306	02-02-1993	Erdman et al.	
Examiner Signature		Date Considered 5/16/03			

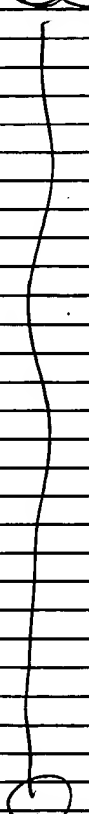
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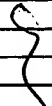
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PTO/SB/08A (08-03)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known	
		Application Number	09/620253
		Filing Date	July 20, 2000
		First Named Inventor	SIMKINS, BARRY A.
		Art Unit	2125
Examiner Name	BAHTA, KIDEST	Technology Center 2100	
Attorney Docket Number	018563-003100US		
Sheet	2	of	10

U.S. PATENT DOCUMENTS+						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	BP	5,186,623		02-16-1993	Breads et al.	
	BQ	5,257,203		10-26-1993	Riley et al.	
	BR	5,273,429		12-28-1993	Rekow et al.	
	BS	5,278,756		01-11-1994	Lemchen et al.	
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	BV	5,342,202		08-23-1994	Deshayes	
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	BY	5,395,238		03-07-1995	Andreiko et al.	
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	CH	5,533,895		07-09-1996	Andreiko et al.	
	CI	5,542,842		08-06-1996	Andreiko et al.	
	CJ	5,549,476		08-27-1996	Stern	
	CK	5,587,912		12-24-1996	Andersson et al.	
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	CM	5,607,305		03-04-1997	Andersson et al.	
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	CO	5,655,653		08-12-1997	Chester	
	CP	5,683,243		11-04-1997	Andreiko et al.	
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		Country Code ³	Number ⁴	Kind Code ⁵ (If known)				
	CT	EP	091,876	A1	10-19-1983	Duret		<input type="checkbox"/>
	CU	EP	299,490	A2	01-18-1989	Steinbichler et al.		<input type="checkbox"/>
	CV	EP	376,873	A2	07-04-1990	Shafir		<input type="checkbox"/>
	CW	EP	490,848	B1	06-17-1992	Andersson et al.		<input type="checkbox"/>
	CX	EP	541,500	A1	06-12-1998	Andersson et al.		<input type="checkbox"/>

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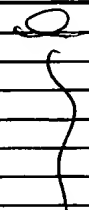
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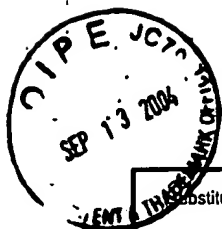
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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	CY	EP	731,673	B1	09-18-1998	Andersson et al.		<input type="checkbox"/>
	CZ	EP	774,933	B1	05-28-1997	Oden et al.		<input type="checkbox"/>
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	DB	FR	2,369,828	A1	06-02-1978	Suyehiro		<input type="checkbox"/>
	DC	WO	90/08,512	A1	08-09-1990	Lemcren		<input type="checkbox"/>
	DD	WO	91/04,713	A1	04-18-1991	Jourda et al.		<input type="checkbox"/>
	DE	WO	94/10,935	A1	05-26-1994	Andreiko et al.		<input type="checkbox"/>
	DF	WO	98/44,865	A1	10-15-1998	Andersson		<input type="checkbox"/>
	DG	WO	98/58,596	A1	12-30-1998	Chishti et al.		<input type="checkbox"/>

Examiner Signature		Date Considered	5/10/05
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PTO/SB/08B (08-03)

Substitute for form 1449B/PTO		Complete if Known			
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		First Named Inventor	SIMKINS, BARRY A.		
		Art Unit	2125		
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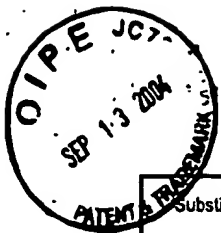
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NON PATENT LITERATURE DOCUMENTS			
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	DH	ALEXANDER et al., "The DigiGraph Work Station Part 2, Clinical Management," JCO (July 1990), pp. 402-407.	
	DI	ALTSCHULER et al., "Laser Electro-Optic System for Rapid Three-Dimensional (3D) Topographic Mapping of Surfaces," Optical Engineering, Vol. 20(6) (1981), pp. 953-961.	
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	DL	ALTSCHULER, "3D Mapping of Maxillo-Facial Prosthesis," AADR Abstract #607, 1980, 2 pages total..	
	DM	American Association for Dental Research, Summary of Activities, March 20-23, 1980, Los Angeles, CA, p. 195.	
	DN	ANDERSSON et al., "Clinical Results with Titanium Crowns Fabricated with Machine Duplication and Spark Erosion," Acta Odontologica Scandinavica, vol. 47 (1989), pp. 279-286.	
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	DP	BAUMRIND et al., "Mapping the Skull in 3-D, Reprinted from The Journal, California Dental Association, Vol. 48, No. 2 (1972 Fall Issue) 11 pages total.	
	DQ	BAUMRIND et al., "Seminars in Orthodontics," Seminars in Orthodontics, Vol. 7, No. 4 (Dec. 2001), p. 222.	
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	DS	BAUMRIND, "Integrated Three-Dimensional Craniofacial Mapping: Background, Principles, and Perspectives," Seminars in Orthodontics, Vol. 7, No. 4 (Dec. 2001), pp. 223-232.	
	DT	BEGOLE et al., "A Computer System for the Analysis of Dental Casts," The Angle Orthodontist, Vol. 51 No. 3 (July 1981), pp. 253-259.	
	DU	BERNARD et al., "Computerized diagnosis in Orthodontics for Epidemiological Studies" (progress report), Abstracts of Papers, Journal of Dental Research; Vol. 71, Special Issue March 1-14, 1992, pp. 28-36.	
	DV	BHATIA et al., "A Computer-Aided Design for Orthognathic Surgery," British Journal of Oral and Maxillofacial Surgery, Vol. 22 (1984), pp. 237-253.	
DW	BIGGERSTAFF et al., "Computerized analysis of occlusion in the postcanine dentition," American Journal of Orthodontics, Vol. 61, No. 3 (Mar. 1972), pp. 245-254.		

Examiner Signature		Date Considered	08/16/05
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	EN	DEFRANCO et al., "Three-Dimensional Large Displacement Analysis of Orthodontic Appliances," <i>J. Biomechanics</i> , Vol. 9 (1976), pp. 793-801.	
	EO	Dental Institute University of Zurich Switzerland, Program for International Symposium on Computer Restorations: State of the Art of the CEREC-Method, May 1991, 3 pages total.	
	EP	DenTrac Corporation, Dentrac document, pp. 4-13.	
	EQ	DURET et al., "CAD-CAM in Dentistry," <i>Journal of the American Dental Association</i> , Vol. 117 (Nov. 1986), pp. 715-720.	
	ER	DURET et al., "CAD/CAM imaging in dentistry," <i>Current Opinion in Dentistry</i> , Vol. 1 (1991), pp. 150-154.	
	ES	DURET, "The Dental CAD/CAM, General Description of the Project," <i>Hennson International Product Brochure</i> , Jan. 1986., 18 pages total.	
	ET	DURET, "Vers une prothese informatisee," (English translation also attached), <i>Tonus</i> , vol. 75, (Nov. 15, 1985), pp. 55-57.	
	EU	ECONOMIDES, "The Microcomputer in the Orthodontic Office," <i>JCO</i> , (Nov. 1979), pp. 767-772.	
	EV	ELSASSER, "Some observations on the history and uses of the Kesling positioner" <i>Am. J. Orthod.</i> , 36:368-374, 1950.	
	EW	FABER et al., "Computerized interactive orthodontic treatment planning," <i>Am. J. Orthod.</i> , Vol. 73, No. 1 (Jan. 1978), pp. 36-46.	
	EX	FELTON et al. "A computerized analysis of the shape and stability of mandibular arch form," <i>Am. Journal of Orthodontics and Dentofacial Orthopedics</i> , Vol. 92, No. 6 (Dec. 1987), pp. 478-483.	
	EY	FRIEDE et al., "Accuracy of Cephalometric Prediction in Orthognathic Surgery," <i>Abstract of Papers, Journal of Dental Research</i> , Vol. 70 (1987), pp. 754-760.	
	EZ	GIM-ALLDENT Deutschland, "Das DUX System: Die Technik" 4 pages total.	
	FA	GRAYSON, "New Methods for Three Dimensional Analysis of Craniofacial Deformity," Symposium: Computerized Facial Imaging in Oral and Maxillofacial Surgery, AAOMS Sept. 13, 1990, 3 pages total.	
	FB	GUESS et al., "Computer Treatment Estimates in Orthodontics and Orthognathic Surgery," <i>JCO</i> , (April, 1989), pp. 262-28.	
FC	HEAVEN et al., "Computer-based Image Analysis of Artificial Root Surface Caries," "Automated Identification of Landmarks in Cephalometric Radiographs," <i>Abstracts of Papers, Journal of Dental Research</i> , Vol. 67, March 9-13, 1988, 2 pages total.		

Examiner Signature		Date Considered	8/16/08
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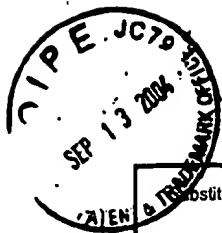
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	FD	HOFFMANN et al., "Role of Cephalometry for Planning of Jaw Orthopedics and Jaw Surgery Procedures," (Article Summary in English, article in German), <i>Informationen</i> , (March 1991), pp. 375-396.	
	FE	HUCKINS, "CAD-CAM Generated Mandibular Model Prototype from MRI Data," <i>AAOMS</i> 1999, p. 95.	
	FF	Inside the ADA, <i>Journal Of The American Dental Assoc.</i> , Vol. 118 (March 1989) 9 pages total.	
	FG	JCO Interviews, "Craig Andreiko, DDS, MS on the Elan and Orthos Systems", JCO, (Aug. 1994), pp. 459-468.	
	FH	JCO Interviews, "Dr. Homer W. Phillips on Computers in Orthodontic Practice, Part 2," JCO, (Dec. 1983), pp. 819-831.	
	FI	JERROLD, "The problem, electronic data transmission and the law," <i>AJO-DO</i> , (Apr. 1988), pp. 478-479.	
	FJ	JONES et al., "An Assessment of the Fit of a Parabolic Curve to Pre- and Post-Treatment Dental Arches," <i>British Journal of Orthodontics</i> , Vol. 16 (1989), pp. 85-93.	
	FK	KAMADA et al., "Case reports on tooth positioners using LTV vinyl silicone rubber" J. Nihon University School of Dentistry, 26(1):11-29, 1984.	
	FL	KAMADA et al., "Construction of tooth positioners with LTV vinyl silicone rubber and some case reports" J. Nihon University School of Dentistry, 24(1):1-27, 1982.	
	FM	KANAZAWA et al., "Three-Dimensional Measurements of the Occlusal Surfaces of Upper Molars in a Dutch Population," <i>J. Dent Res.</i> , Vol. 63, No. 11 (Nov. 1984), pp. 1298-1301.	
	FN	KESLING, "Coordinating the predetermined pattern and tooth positioner with conventional treatment" <i>Am. J. Orthod. Oral. Surg.</i> , 32:285-293, 1946.	
	FO	KESLING, "The philosophy of the tooth positioning appliance" <i>Am. J. Orthod. Oral. Surg.</i> , 31(6):297-304, 1945.	
	FP	KLEEMANN et al., "The speed positioner" <i>J. Clin. Orthod.</i> , 30:673-680, 1996.	
	FQ	KURODA et al., "Three-dimensional dental cast analyzing system using laser scanning" <i>Am. J. Orthod. Dentofac. Orthop.</i> , 110:365-369, 1996.	
	FR	LAURENDEAU et al., "A Computer-Vision Technique for the Acquisition and Processing of 3-D Profiles of Dental Imprints: An Application in Orthodontics," <i>IEEE Transactions on Medical Imaging</i> , Vol. 10, No. 3 (Sept. 1991), pp. 453-461.	
	FS	LEINFELDER et al., "A new method for generating ceramic restorations: a CAD-CAM system," <i>Journal Of The American Dental Assoc.</i> , Vol. 118, No. 6 (Jun. 1989), pp. 703-707.	

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	FT	MCNAMARA et al., <i>Orthodontic and Orthopedic Treatment in the Mixed Dentition</i> , Needham Press, January 1993. pp.347-353.	
	FU	MCNAMARA et al., "Invisible Retainers", <i>J. Clinical Orthodontics</i> , (August 1985) pp. 570-578.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete If Known			
		Application Number	09/620253		
		Filing Date	July 20, 2000		
		First Named Inventor	SIMKINS, BARRY A.		
		Art Unit	2125		
		Examiner Name	BAHTA, KIDEST		
Sheet	9	of	10	Attorney Docket Number	018563-003100US

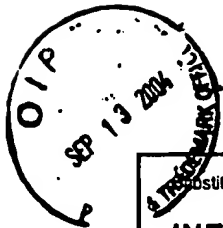
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	GJ	REKOW, "Feasibility of an Automated System for Production of "Dental Restorations," PhD Thesis, Univ. of Minnesota, Nov. 1988, 244 pages total.	
	GK	RICHMOND et al., Research Reports, "The development of a 3D Cast Analysis System," <i>British Journal of Orthodontics</i> , pp. 53-54.	
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G	GZ	VAN DER ZEL, "Ceramic-fused-to-metal Restorations with a New CAD/CAM System," <i>Quintessence International</i> , vol. 24(11) (1993), pp. 769-778.	
	HA	VARADY et al., "Reverse Engineering of Geometric Models - An Introduction," May 13, 1996, pp. 1-28.	
	HB	WARUNEK et al., "Clinical use of silicone elastomer appliances" <i>JCO</i> , MH (10):694-700, 1989.	
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	HE	WILLIAMS, "Dentistry and CAD/CAM: Another French Revolution," <i>Journal of Dental Practice Admin.</i> , Jan./March 1987, pp. 2-5.	
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	HG	WISHAN, "New Advances in Personal Computer Applications for Cephalometric Analysis, Growth Prediction, Surgical Treatment Planning and Imaging Processing," <i>Symposium: Computerized Facial Imaging in Oral and Maxillofacial Surgery</i> , AAOMS, Sept. 13, 1990, p. 5.	
	HH	YAMAMOTO et al., "Three-Dimensional Measurement of Dental Cast Profiles and Its Applications to Orthodontics," <i>Annual Int'l Conf. of IEEE Engineering in Medicine and Biology Society</i> , Vol. 12, No. 5, pp. 2051-2053, 1990	
	HI	YAMAMOTO et al., "Optical measurement of dental cast profile and application to analysis of three-dimensional tooth movement in orthodontics," <i>Frontiers in Med. and Biol. Eng'g</i> , Vol. 1, No. 2 (1988), pp. 119-130.	

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